

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shaw-Fen Sylvia Hu  
Serial No.: To Be Assigned Group Art Unit No.: Not Yet Assigned  
Filed: October 13, 2000 Examiner: Not Yet Assigned  
For: TRUNCATED GLIAL CELL LINE-DERIVED NEUROTROPHIC FACTOR  
Docket No.: A-357B

1c921 U.S. PTO  
09/687984  
10/13/00

#4  
J.R.  
2/8/01

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

As a means of complying with the duty of disclosure, Applicant submits a "List of References Cited by Applicant" on a modified PTO-1449 form.

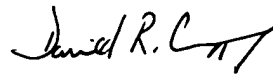
In accordance with 37 CFR 1.98(d), copies of the patents, publications or other information listed in this information disclosure statement are not required to be provided and are not enclosed because they were previously cited by or submitted to the Office in prior application Serial No.08/535,681, filed September 28, 1995. The present application is a divisional of this prior application which is relied upon for an earlier filing date under 35 USC 120.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Applicants request consideration of this information and passage of the application to issue.

The Commissioner is hereby authorized to charge any filing fees which may be required or credit any overpayment to Deposit Account No. 01-0519 in the name of Amgen, Inc.

Respectfully submitted,



Daniel R. Curry  
Attorney for Applicant  
Registration No.: 32,727  
Phone: (805) 447-8102  
Date: October 13, 2000

Please send all future correspondence to:

U.S. Patent Operations/DRC  
Dept. 4300, M/S 27-4-A  
AMGEN INC.  
One Amgen Center Drive  
Thousand Oaks, California 91320-1799

EXPRESS MAIL CERTIFICATE

"Express Mail" mail labeling number: EL360689268US

Date of Deposit: October 13, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

S.L. St. Andrew  
Printed Name

S.L. St. Andrew  
Signature

Modified Form PTO-1449

Attv. Docket No.

**A-357B**

Serial No.

### To Be Assigned

### LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

**Applicant**

## Shaw-Fen Sylvia Hu

Filing Date

October 13, 2000

Group

### To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	4,518,584	21.05.85	Mark et al.			
	AB	4,419,446	06.12.83	Howley et al.			
	AC	5,272,071	21.12.93	Chappel			
	AD	4,892,538	09.01.90	Aebischer et al.			
	AE	5,011,472	30.04.91	Aebischer et al.			
	AF	5,106,627	21.04.92	Aebischer et al.			
	AG	5,202,428	13.04.93	Schubert			
	AH	5,252,714	12.10.93	Harris et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES	NO
	BA	WO 93/06116	01.04.93	PCT				
	BB	EP 423 980	10/4/90					
	BC	EP 154 316	02.03.85					
	BD	EP 401 384	22.12.89					
	BE	EP 398 753	18.05.90					
	BF	WO 91/10425	25.07.91	PCT				
	BG	WO 91/10470	25.07.91	PCT				
	BH	WO 91/03568	21.03.91	PCT				
	BI	WO 95/17203	29.06.95	PCT				
	BJ	WO 95/26408	05.10.95	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

CA	Varon et al., (1978) <i>Ann. Rev. Neuroscience</i> 1:327-361
CB	Thoenen et al., (1985) <i>Science</i> 229:238-242
CC	Rich et al., (1987) <i>J. Neurocytol.</i> 16:261-268
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Date Considered:	
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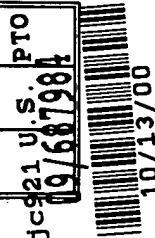
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INITIALS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

DA	Otto et al., (1987) <i>Neurosci. Lett.</i> <b>83</b> :156-160
DB	Hamburger et al., (1984) <i>J. Neurosci.</i> <b>4</b> :767-774
DC	Apfel et al., (1991) <i>Ann. Neurol.</i> <b>29</b> :87-90
DD	Hofer et al., (1988) <i>Nature</i> <b>331</b> :261-262
DE	Lin et al., (1989) <i>Science</i> <b>246</b> :1023-1025
DF	Fausset et al., (1991) <i>Electrophoresis</i> <b>12</b> :22-27
DG	Dayhoff, (1972) <i>Atlas of Protein Sequence and Structure</i> <b>5</b> :124
DH	Cunningham et al., (1989) <i>Science</i> <b>244</b> :1081-1085
DI	Francis, (1992) <i>Focus on Growth Factors</i> <b>3</b> (2):4-10
DJ	Malik et al., (1992) <i>Exp. Hematol.</i> <b>20</b> :1028-1035
DK	Chamow et al., (1994) <i>Bioconjugate Chem.</i> <b>5</b> :133-140
DL	Maniatis et al., (1982) <i>Molecular Cloning (A Laboratory Manual)</i> , 387-389
DM	Engels et al., (1989) <i>Chem. Intl. Ed.</i> , <b>28</b> :716-734
DN	Wells et al., (1985) <i>Gene</i> <b>34</b> :315-323
DO	Urlaub et al., (1980) <i>Proc. Natl. Acad. Sci., USA</i> <b>77</b> (7):4216-4220
DP	Takebe et al., (1988) <i>Mol. Cell. Biol.</i> <b>8</b> (1):466-472
DQ	Sambrook, (1981) <i>Nature</i> <b>293</b> :620-625
DR	Kaufman et al., (1985) <i>Mol. Cell. Biol.</i> <b>5</b> (7):1750-1759
DS	Kucherlapati, (1989) <i>Prog. in Nucl. Acid Res. and Mol. Biol.</i> <b>36</b> :301-310
DT	Thomas et al., (1986) <i>Cell</i> <b>44</b> :419-428
DU	Thomas et al., (1987) <i>Cell</i> <b>51</b> :503-512
DV	Doetschman et al., (1988) <i>Proc. Natl. Acad. Sci.</i> <b>85</b> :8583-8587
DW	Doetschman et al., (1987) <i>Nature</i> <b>330</b> :576-578
DX	Winn et al., (1991) <i>Exper. Neurol.</i> <b>113</b> :322-329
DY	Aebischer et al., (1991) <i>Exper. Neurol.</i> <b>111</b> :269-275

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	EA	Tresco et al., (1992) <i>ASAIO</i> 38:17-23
	EB	Okayama et al., (1983) <i>Mol. Cell . Biol.</i> 3:280-289
	EC	Goodwin et al. (1983) <i>Nucleic Acids Res.</i> 11:6873-6882
	ED	Gasser et al. (1982) <i>Proc. Natl. Acad. Sci.</i> 79:6522-6526
	EE	Erllich, Ed., (1989) <i>PCR Technology, Principles and Applications for DNA Amplification</i> , Chapter 6:61-70
	EF	Louis et al., (1992) <i>J. Pharmacol. Exp. Therap.</i> 262:1274-1283
	EG	Louis et al., (1993) <i>Science</i> 259:689-692
	EH	Lin et al., (1994) <i>J. Neurochem.</i> 63(2): 758-768
	EI	Friedman et al., (1987) <i>Neuro. Sci. Lett.</i> 79:65-72
	EJ	Schubert et al., (1974) <i>Nature</i> 249:224-227
	EK	Lin et al., (1993) <i>Science</i> 260:1130-1132
	EL	Bohn et al., (1989) <i>Soc. Neurosci. Abs.</i> 15:277
	EM	Schaar, et al. (1994), 'Multiple Astrocyte Transcripts Encode Nigral Trophic Factors in Rat and Human', <i>Exp. Neurology</i> 130:387-393.
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